

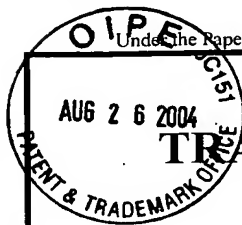
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PTO/SB/21 (6-99)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	Application Number	10/021,121
	Filing Date	December 6, 1002
	First Named Inventor	Ingrid W. Caras
	Group/Art Unit	1647
	Examiner Name	Nichols, Christopher
Attorney Docket Number		39766-0046DV1

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Version with Markings Showing Changes <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): stamped return postcard; copies of four (4) references.
Remarks		
AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER.		

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	GINGER R. DREGER, ESQ., Reg. No. 33,055, HELLER EHRMAN WHITE & McAULIFFE LLP		
Signature			
Date	August 26, 2004	Customer Number:	25213

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ingrid W. Caras                      Docket No.: 39766-0046DV1  
Serial No.: 10/021,121                      Group Art Unit: 1647  
Filing Date: December 6, 2001                      Examiner: Nichols, Christopher J.  
For: **AL-2 NEUROTROPHIC FACTOR**

**EXPRESS MAIL LABEL NO. EL 993 689 165 US**  
**Date Mailed: August 26, 2004**

**AMENDMENT AND RESPONSE TO OFFICE ACTION**

**MS: AMENDMENT**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

In response to the Office Action mailed on May 26, 2004, in connection with the above-identified patent application, please enter the following amendment and consider the following arguments. This response is filed within the three-month shortened statutory term set in the Office Action.

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** begin on page 5 of this paper.

**Remarks/Arguments** begin on page 7 of this paper.